



Discrimination in healthcare: Making use of complaints mechanisms

Annabel Raw
AnnabelR@salc.org.za

28 June 2016

“Strengthening accountability and redress for discrimination in healthcare in Botswana, Malawi and Zambia”

A preliminary study on healthcare discrimination against select key populations and the availability, effectiveness and sufficiency of complaints mechanisms

[Forthcoming, July 2016]

Key findings: Legal, policy and ethics framework

- Legal protections and policy commitments:
 - prohibit discrimination in broad terms;
 - emphasise commitments to equitable access to quality healthcare;
 - However, not many explicit protections for key populations and vulnerable populations.
- It is not a crime to **be** a sex worker in Botswana, Malawi or Zambia nor are LGBT persons criminalised in themselves even if certain same-sex sexual acts are criminalised in all three countries.
- Healthcare workers are ethically and legally bound not to discriminate against patients unfairly and to treat patients with due respect to their inherent human dignity.

Key findings: Experiences of sex workers, LGBT persons, women living with HIV, and persons with disabilities

- Pervasive discrimination in healthcare based on, inter alia, health and HIV-status, gender, sexual orientation, disability, socio-economic status, occupation, and rural location.
- Effects include healthcare avoidance, self-medication, and social alienation amongst others.

Examples of experiences of discrimination

Sex workers	LGBT persons	Women living with HIV	Persons with disabilities
<ul style="list-style-type: none"> • Treatment refusal especially for STIs. • PEP issues in Zambia. • Sexual violence and coercion by healthcare workers. • Confidentiality and informed consent. • Mobility needs not accommodated. <p>... Closely linked to police abuse.</p>	<ul style="list-style-type: none"> • Inability to access particularised care. • Denial of HIV testing absent intimate partners. • Significant verbal abuse. • Confidentiality breaches: Health status AND sexual orientation. <p>... Treatment avoidance. ... Fear of reporting to police.</p>	<ul style="list-style-type: none"> • Segregation and identifying practices. • Informed consent. • Intersectional discrimination: especially if disability and if socio-economically disempowered. • Derogatory and abusive language. 	<ul style="list-style-type: none"> • Denial of particular forms of healthcare E.g. contraception • Failure to provide for reasonable accommodation. E.g. physical access / reading labels. • Confidentiality and informed consent. • Abuses against women especially in context of SRH.

Key findings: Complaints mechanisms

- Variety of options outside of court process.
- Varying levels of availability, effectiveness and sufficiency.
- Options include facility-level or internal complaints processes, health professions and nursing councils, national human rights institutions and specialized bodies e.g. dealing with persons with disabilities.
- Some potential for use by healthcare users BUT:
 - Require significant investment and improved procedural clarity and consistency.
 - Greater sensitivity to needs of key populations and vulnerable groups needed.
 - Support required to complain.

Key findings: Complaints mechanisms

Internal & facility-level complaints	HPCs and Nursing Councils	NHRIs	Specialised bodies
<ul style="list-style-type: none"> • Generally informal: <ul style="list-style-type: none"> - <i>Accessible.</i> - <i>Indiscernible procedure / inconsistency / low transparency.</i> • High sufficiency potential for accountability and redress. • Potential for use of ombudspersons / village advisory committees etc. 	<ul style="list-style-type: none"> • Narrow mandate: discipline of HCW. • Generally formalised processes: <ul style="list-style-type: none"> - Standards of proof. - Unlikely anonymity for complainant. - Possibility to take decisions on review? • Independence? 	<ul style="list-style-type: none"> • High availability and flexibility to accommodate safety concerns. • Possibility for systemic analyses / policy input & conciliatory options. • Generally low enforceability (exception: <i>Zambian HRC</i>) 	<ul style="list-style-type: none"> • Highly varied in terms of powers / mandate. • Group-specific accommodations. • Usually close to government.

Why use complaints processes?

- Addressing healthcare providers' behaviour: individual and collective levels.
- Options to achieve accountability and redress in a manner responsive to healthcare users' needs:
 - Most respondents wanted change > compensation.
 - Options for those unwilling to litigate: access to justice.
 - Safety accommodations: anonymity / third-party complaints / group complaints.
- System input and self-regulation.
- Speed of resolution.
- Cost-effectiveness.
- Stimulating demand for community participation and democratising service delivery.

What is the role for lawyers?

- **Supporting NGO and CBO partners and patients:**
 - Forum shopping
 - Gathering evidence
 - Framing statements
 - Articulating demands
 - Protecting against secondary victimisation / anticipating safety concerns
 - Follow up and enforcement
 - Ensuring options for litigation remain open
 - Improving systems – prospects to incorporate demands of administrative justice standards?
 - Training and enabling paralegals / NGOs / CBOs / support groups and individuals to drive their own processes.
 - Developing jurisdiction-specific information on what is available.

Filling the gaps: The Role of Lawyers

Key population healthcare users	CBO and NGO respondents	Complaints bodies
<ul style="list-style-type: none">• Low expectations and knowledge of entitlements or rights in context of healthcare.• Unaware of possibility to complain.• Often fearful of consequences of complaint.	<ul style="list-style-type: none">• Largely unaware of options for complaint outside of internal / facility-level complaints / formal legal process.• Often unaware of professional ethical frameworks.	<ul style="list-style-type: none">• HPCs / nursing councils – unversed in concept of discrimination or notion that constitutional / legal obligations – focus on codes of ethics/• NHRIs unaware of professional ethical frameworks.

Next steps

- SALC research report: “Strengthening accountability and redress for discrimination in healthcare in Botswana, Malawi and Zambia”.
- Training Tool.
- **We want to work with you!**
 - Supporting complaints to be made.
 - Documenting processes.
 - Advocating for concrete system improvements.
 - Ensuring accommodation of key populations and persons with vulnerabilities.
 - Litigation to improve systems where appropriate.

Any experiences of using
complaints mechanisms?